



Mountain Parkway DBE Outreach

Prequalification Workshop
October 15, 2024
10:00 AM



Project History

- The creation of the Mountain Parkway was a significant milestone for Kentucky's transportation system.
- It opened in 1963 as one of the state's first toll roads, which created high-speed routes beyond the interstate highway system that was being expanded during that era.
- The Mountain Parkway provided a modern connection for commerce and travel between rural areas of Eastern Kentucky, and more populous areas in Central Kentucky and beyond.



Project Overview

- In **January 2014**, Gov. Steve Beshear announced plan to fulfill the original promise of making the entire parkway four lanes.
- **Length: 45-mile expansion**
 - **32 miles** of existing parkway widened to four lanes (Campton to Salyersville)
 - **13 miles** of additional parkway constructed/expanded to four lanes (Salyersville to Prestonsburg)



Project Impacts

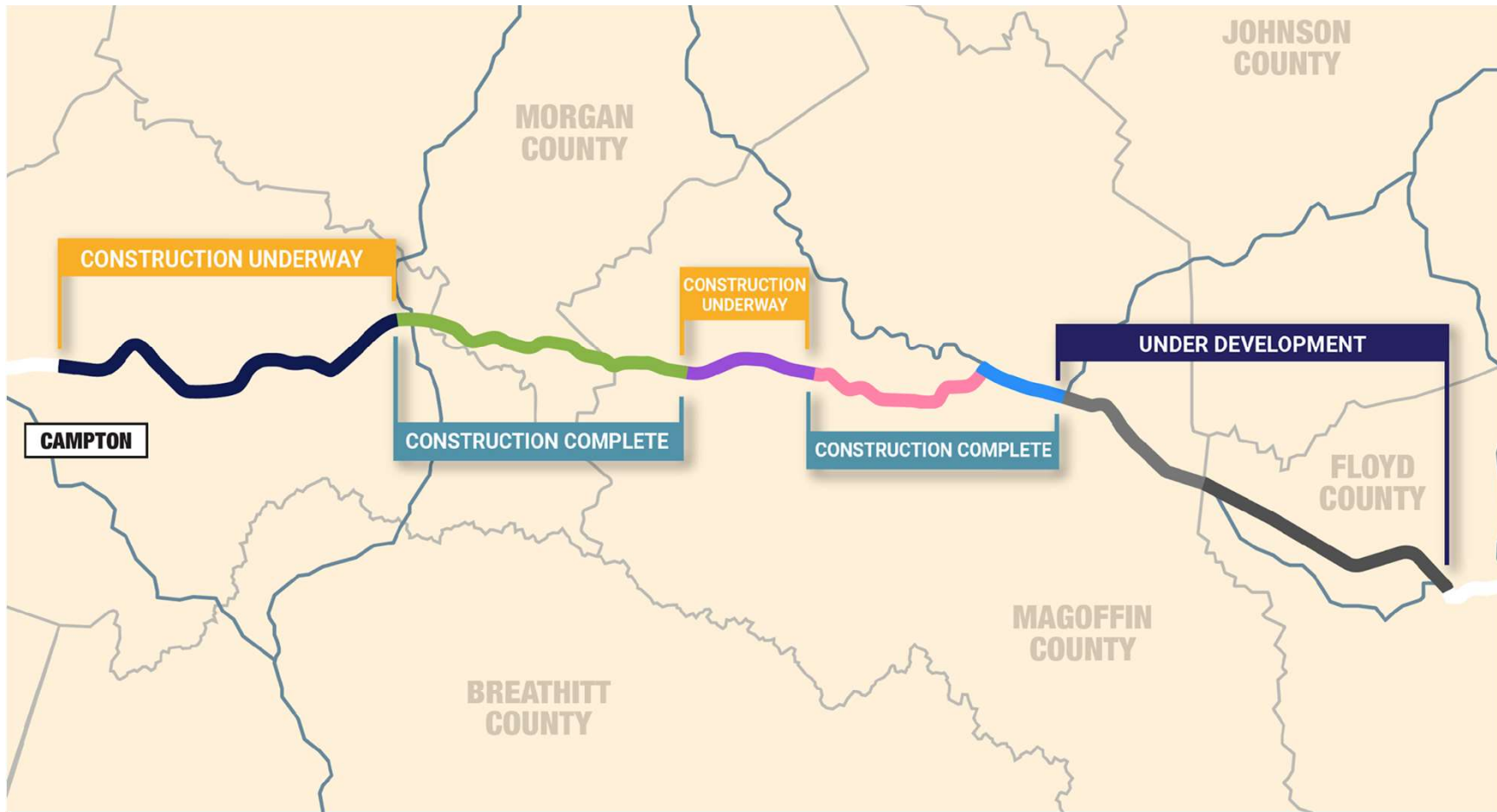
- The Mountain Parkway Expansion is an **investment** in the communities of Eastern Kentucky.
- By improving the infrastructure throughout Eastern Kentucky, we make the Appalachian region a **more attractive place** for future companies to establish and grow their businesses.
- Wider roads provide **easier access** for trucks to travel across the state, enhancing the potential for freight companies to conduct business in this region.



Project Impacts

- Building better roads can lead to **increased tourism dollars** for Eastern Kentucky. This allows both our fellow Kentuckians and visitors from all over to experience our region's beauty and our people's hospitality.
- The Mountain Parkway serves as Eastern Kentucky's connection to the rest of the Commonwealth. We're widening and enhancing that connection to make sure that wherever you go, **you get there safely**.





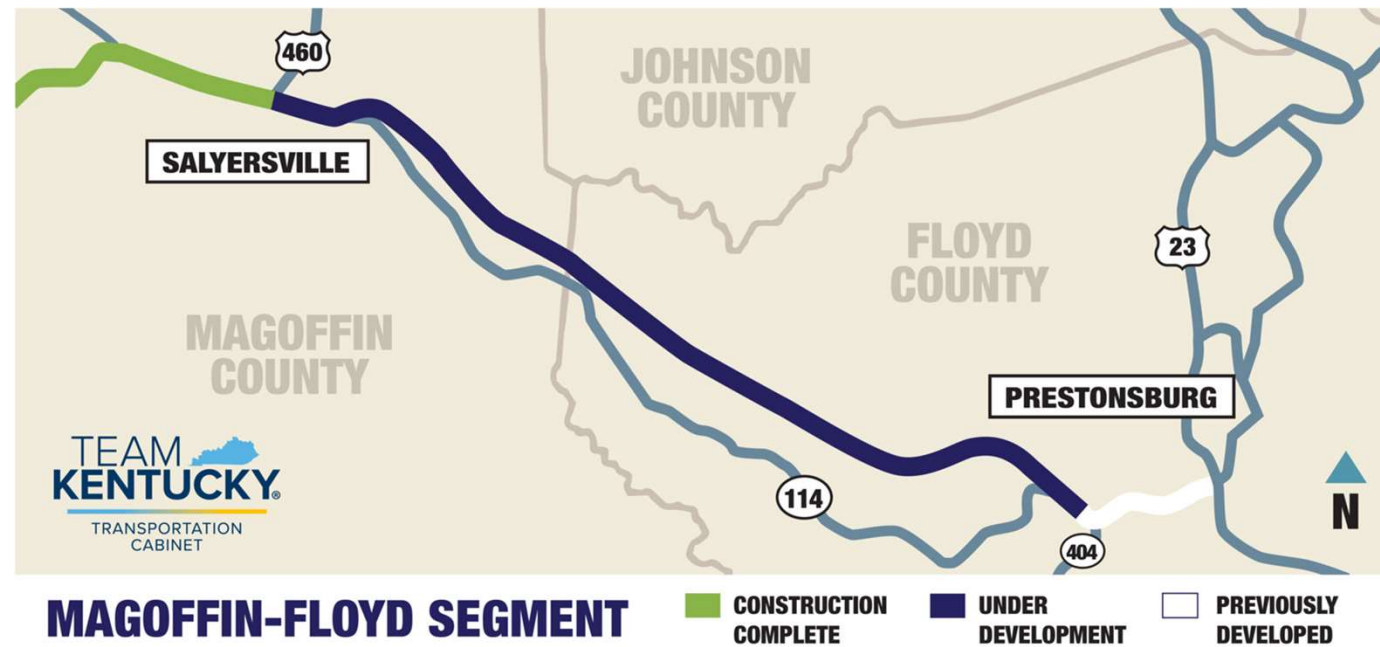
CORRIDOR SEGMENTS

- PREVIOUSLY WIDENED
- WOLFE COUNTY
- MORGAN COUNTY
- MAGOFFIN WEST
- MAGOFFIN CENTRAL
- SALYERSVILLE
- MAGOFFIN EAST
- FLOYD COUNTY




- The purpose of the last segment is to extend the parkway from **US 460 in Salyersville** to **near the KY 114/KY 404 intersection** in Floyd County.
- This will be along the **preferred cross-country alignment** as a four-lane, controlled access parkway.
- The Magoffin-Floyd segment is the first segment of the project using the **design-build approach**.

Under development: Magoffin-Floyd Segment





Contractors Announced in 2023

- Bizzack was selected as the lead design-build contractor for the final section of the Mountain Parkway Expansion.
 - **Bizzack assembled an experienced contractor team including:**
 - Palmer Engineering of Winchester
 - Haydon Bridge Company of Springfield
 - Bush & Burchett Incorporated of Prestonsburg
 - S&ME Incorporated of Lexington
 - Civil Design Incorporated of Louisville
 - J. Davis Law Firm, PLLC of Covington
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Disadvantaged Business Enterprise Diversity and Inclusion

- Bizzack Construction, LLC has contracted with J. Davis Law Firm, PLLC as its Disadvantaged Business Enterprise (DBE) Liaison and DBE Compliance Manager to create and actively manage a DBE participation on the Mountain Parkway Project (Project).
- DBE Liaison and DBE Compliance Manager are required to oversee all aspects of project outreach and compliance.
- J. Davis Law Firm, PLLC will also assist with workforce development, on the job training activities, and coordination with design team staff, consultants, and advisors.





WHY IS DBE CERTIFICATION IMPORTANT?

- DBE CERTIFICATION PROVIDES SPECIFIC OPPORTUNITIES TO MINORITIES AND WOMEN THAT ARE AVAILABLE TO OTHER BUSINESSES
- DBE CERTIFICATION PROVIDES GUARANTEED OPPORTUNITIES FOR WOMEN AND MINORITY CONTRACTORS THAT MAY NOT HAVE EXISTED
- RULES AND REGULATIONS CONCERNING TIMING OF PAYMENT
- HELPS WOMEN AND MINORITIES TO GROW AND DIVERSIFY THEIR BUSINESS BY PARTICIPATING ON GOVERNMENT FUNDED PROJECTS
- ACCESS TO SUPPLIER DIVERSITY PROGRAMS
- ELIGIBILITY FOR GRANT OPPORTUNITIES
- ADDITIONAL TRAINING OPPORTUNITIES AND SUPPORTIVE SERVICES

Mountain Parkway- Potential Subcontracting Opportunities



Work Items

- PAVEMENT STRIPIN
- PAVEMENT MARKERS
- UTILITIES (WATERLINE)
- TRAFFIC CONTROL
- BITUMINOUS MILLING & TEXTURING
- BARRIER WALLS
- STRUCTURAL PLATE PIPE
- GROUND IN RUMBLE STRIPS
- PNEUMATIC BACKSTOWING
- VIDEO PIPE INSPECTION & CLEANING
- STAY IN PLACE BRIDGE FORMS
- LAW ENFORCEMENT OFFICER
- JOINT ADHESIVE
- PAVEMENT STRIPING REMOVAL
- VIDEO & LASER PIPELINE INSPECTION
- PAVEMENT MARKINGS
- SHEAR STUD INSTALLATION
- GRADE AND DRAIN (Portion)
- PORTLAND CEMENT CONCRETE PAVING
- BRIDGES 100 FT. CLEAR SPAN AND OVER
- SIGNS
- LIGHTING
- CLEARING AND GRUBBING
- GUARD RAILS
- FENCING
- SEEDING AND SODDING
- DENSE GRADED AGGREGATE BASE
- CURB AND GUTTER
- SIDEWALK
- ENTRANCE PAVEMENT
- TYING STEEL REINFORCEMENT
- STORM DRAINAGE & STORM SEWER
- TRAFFIC SIGNALS

Preliminary Description Of Opportunities

DBE- Manufacturers, Suppliers & Brokers

Pavement

- Crushed Stone
- Joint Adhesive

Roadway

- Roadway Excavation
- Temp Mulch
- Seed and Sod

Drainage

- Culvert Pipe
- Storm Sewer Pipe

Bridge

- Steel Reinforcement
- Structural Steel

Signage

- Aluminum Sheet Signs

Lighting

- Wiring



DBE PROGRAM OVERVIEW

- Every State that receive USDOT Federal Funds Must have a DBE Program.
- USDOT and FHWA provide oversight
- 49CFR Part 26
- Codified by the Civil Rights Act of 1964
- Applicable to every state



DBE PROGRAM REQUIREMENTS

- **Social Disadvantage** - Black Americans, Asian-Pacific, Asian-Indian, Hispanic Americans, Native Americans, Women.
- **Economic Disadvantage** - Less than \$2.047 million Personal Net worth
- **Ownership** - 51%, Looks at Initial Investment
- **Control** - Management and Expertise
- **Small Business Administration Requirements**
- **Must Be For Profit**



Contractor Prequalification



Process for Obtaining Prequalification

Submit Application for Certificate of Eligibility (TC 14-1)

Application is reviewed and recommendation is made by the Prequalification Branch Manager

Contractor's equipment

Resumes of key personnel

Project experience of key personnel

Recommendation on the work types is part of the review process

(Currently over 200)

Financial information is reviewed – Bid Capacity Rating Established

Bid Capacity – Increase/Decrease based upon Contractor Performance

Reports submitted by Section Engineers

Contractor Prequalification

Monthly Construction Prequalification Committee meeting

Construction Prequalification Committee Members

Director of Construction

Office of Legal Services

State Highway Engineer's Office

District Project Delivery and Preservation Branch Manager

Committee reviews/makes formal recommendation to the State

Highway Engineer

The State Highway Engineer issues a Certificate of Eligibility





Certificate of Eligibility

CERTIFICATE NO.

A 2015

01234

ISSUED BY

COMMONWEALTH OF KENTUCKY
TRANSPORTATION CABINET

This Certifies that XYZ CONSTRUCTION COMPANY
123 EAST FIFTH AVENUE
COLUMBUS, OH 54321

is hereby qualified to accept a contract or subcontract on projects of the Department of Highways for such a period as uncompleted work under prime contract at any time does not exceed the aggregate amount of \$59,486,079. This certificate which expires December 31, 2015 is subject to revision or revocation, and is extended to 120 days from this expiration date. An application for renewal of this certificate must be filed within ninety days after the above date.

TYPES OF WORK

- A GRADE AND DRAIN
- B PORTLAND CEMENT CONCRETE PAVING
- E1 BRIDGES NOT MORE THAN 70 FT. CLEAR SPAN
- E2 BRIDGES NOT MORE THAN 100 FT. CLEAR SPAN
- E3 BRIDGES 100 FT. CLEAR SPAN AND OVER
- E4 DEMOLITION OF MAJOR BRIDGES
- G LIGHTING
- I30 TRAFFIC SIGNALS
- I34 FIBER OPTIC CABLE INSTALLATION

DATE ISSUED: March 31, 2015

BY _____

STATE HIGHWAY ENGINEER
DEPARTMENT OF HIGHWAYS



Prequalification Information

Prequalified Contractors List

Updated Monthly

Work Items List

Rules and Regulations; KRS

Policies established for specific work items

TBD Workshops

- DBE Bidding Bonding and Insurance Requirements Workshop
- DBE Bidding and Highway Construction Workshop
- Additional DBE Workshops (If Applicable)

Scheduled Workshops

DBE Informational and Marketing

- The session will take place approximately 90 days prior to the Construction Phase at a date, time, and location that accommodates DBEs.
- All interested Kentucky DBEs will be notified of the meeting and provided a link to join by Zoom if they cannot attend the meeting in person.
- Prior to the meeting, the DBT will identify potential areas of work for DBE Construction Phase participation. The notice for the meeting will provide this information.
- The meeting will be for the purpose of providing details on the subcontracting opportunities, ways to access bidding information, points of contact to answer questions, requirements to submit quotes, timelines for proposal responses, and to identify assistance the DBE may request.

Resources

- Website - KYTC



- Office for Civil Rights and Small Business Development - KYTC



Resources

- UCP Application - KYTC

 U.S. Department of Transportation

OMB APPROVAL NO: 2105-0586
EXPIRATION DATE: (05/31/2027)

IF YOU ARE ALREADY CERTIFIED AS A DBE/ACDBE, YOU DO NOT HAVE TO COMPLETE THIS APPLICATION FOR OTHER STATES. REFER TO § 26.85 OF 49 CFR PART 26 FOR DETAILS ABOUT THE INTERSTATE CERTIFICATION PROCESS.

Section 1: CERTIFICATION INFORMATION

A. Basic Contact Information:
My firm is applying for certification as _____ DBE ___ ACDBE

(1) Contact person's name and title: _____

(2) Legal name of firm: _____

(3) Phone #: _____ (4) Other Phone #: _____ (5) Fax#: _____

(6) E-mail: _____ (7) Firm Websites: _____

(8) Street address of firm (No P.O. Box): _____ City: _____ County/Parish: _____ State: _____ Zip: _____

(9) Mailing address of firm (No P.O. Box): _____ City: _____ County/Parish: _____ State: _____ Zip: _____














- Pre-qualification - KYTC

Ky.gov An Official Website of the Commonwealth of Kentucky

TEAM KENTUCKY TRANSPORTATION CABINET

HOME NEWS/EVENTS MAPS/RESOURCES BUSINESS SERVICES CITIZEN SERVICES ABOUT CONTACT

Prequalification

Type	Name
	Applying for Prequalification
	Certificate of Eligibility for Unlimited Bid Capacity
	Change in Renewal Notifications
	Important General Guidelines
	Incidental Work Items
	Instructions for E-Form Library
	Kentucky Revised Statutes
	New Prequalification Work Item - 203 - Pavement Markings
	Prequalified Contractor List
	Requesting Additional Work Items
	Revised Application for Certificate of Eligibility
	Rules and Regulation for Prequalifications of Contractors
	Work Item List

Contact Information:
Division of Construction Procurement
200 Maro Street
Frankfort, KY 40622
Phone: (502) 564-3500
Hours: 8:00 a.m.-4:30 p.m. EST, M-F
Email to: _____
Map It: _____

Resources
[Electronic Planroom](#)
[Prequalified Contractors List](#)
[DocuSign FAQs](#)
[Bid Express](#)

- DBE Supportive Services - KYTC

HOME CONTACT US ABOUT DBE CERTIFICATIONS TRAINING RESOURCES DBE SUPPORT PUBLIC MORE



Connect With Us!

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Mountain Parkway Expansion
Website:
mtnparkway.com

 mtnparkway

 @mtnparkway

Contact the Division of Construction Procurement

Rachel Mills – Rachel.Mills@ky.gov
Sheila Eagle – Sheila.Eagle@ky.gov



*Thank you
for attending today's presentation!*
Questions?

