



Mountain Parkway DBE Outreach

DBE CERTIFICATION WORKSHOP
September 24, 2024
1:30 PM



Project History

- The creation of the Mountain Parkway was a significant milestone for Kentucky's transportation system.
- It opened in 1963 as one of the state's first toll roads, which created high-speed routes beyond the interstate highway system that was being expanded during that era.
- The Mountain Parkway provided a modern connection for commerce and travel between rural areas of Eastern Kentucky, and more populous areas in Central Kentucky and beyond.



Project Overview

- In **January 2014**, Gov. Steve Beshear announced plan to fulfill the original promise of making the entire parkway four lanes.
- **Length: 45-mile expansion**
 - **32 miles** of existing parkway widened to four lanes (Campton to Salyersville)
 - **13 miles** of additional parkway constructed/expanded to four lanes (Salyersville to Prestonsburg)



Project Impacts

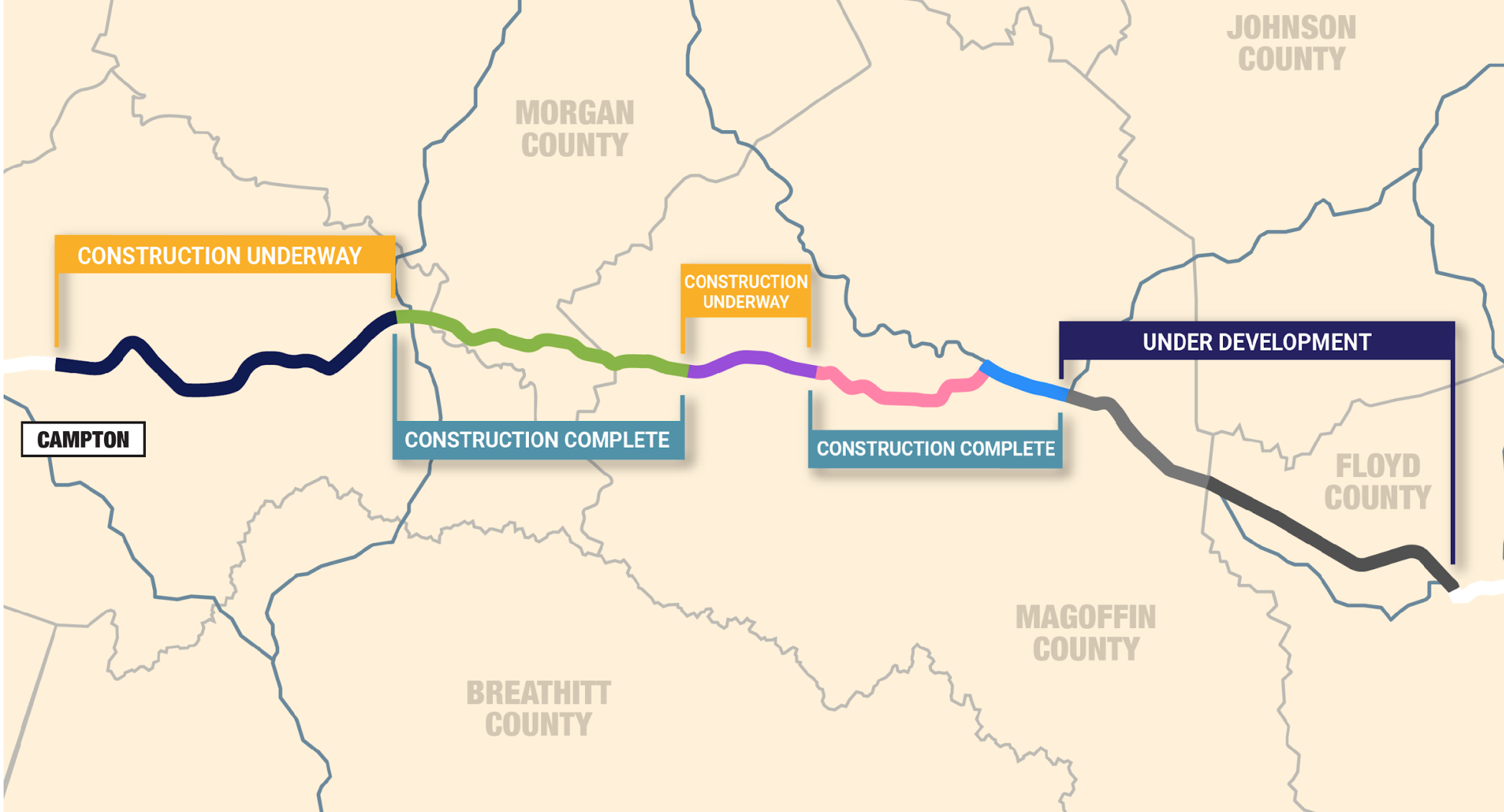
- The Mountain Parkway Expansion is an **investment** in the communities of Eastern Kentucky.
- By improving the infrastructure throughout Eastern Kentucky, we make the Appalachian region a **more attractive place** for future companies to establish and grow their businesses.
- Wider roads provide **easier access** for trucks to travel across the state, enhancing the potential for freight companies to conduct business in this region.



Project Impacts

- Building better roads can lead to **increased tourism dollars** for Eastern Kentucky. This allows both our fellow Kentuckians and visitors from all over to experience our region's beauty and our people's hospitality.
- The Mountain Parkway serves as Eastern Kentucky's connection to the rest of the Commonwealth. We're widening and enhancing that connection to make sure that wherever you go, **you get there safely**.





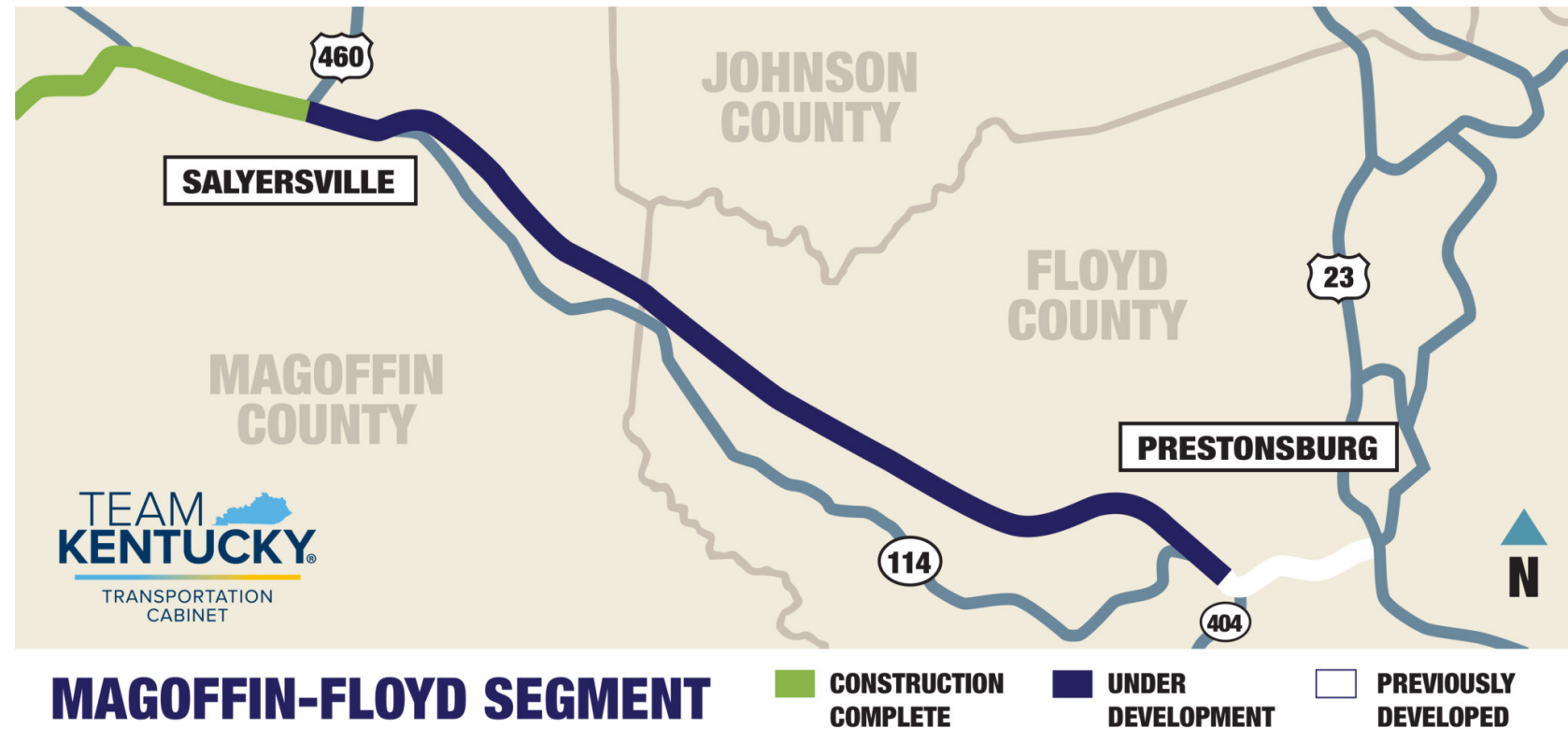
CORRIDOR SEGMENTS

- PREVIOUSLY WIDENED
- WOLFE COUNTY
- MORGAN COUNTY
- MAGOFFIN WEST
- MAGOFFIN CENTRAL
- SALYERSVILLE
- MAGOFFIN EAST
- FLOYD COUNTY



- The purpose of the last segment is to extend the parkway from **US 460 in Salyersville to near the KY 114/KY 404 intersection** in Floyd County.
- This will be along the **preferred cross-country alignment** as a four-lane, controlled access parkway.
- The Magoffin-Floyd segment is the first segment of the project using the **design-build approach**.

Under development: Magoffin-Floyd Segment



Contractors Announced in 2023

- Bizzack was selected as the lead design-build contractor for the final section of the Mountain Parkway Expansion.
- **Bizzack assembled an experienced contractor team including:**
 - Palmer Engineering of Winchester
 - Haydon Bridge Company of Springfield
 - Bush & Burchett Incorporated of Prestonsburg
 - S&ME Incorporated of Lexington
 - Civil Design Incorporated of Louisville
 - J. Davis Law Firm, PLLC of Covington

Disadvantaged Business Enterprise Diversity and Inclusion

- Bizzack Construction, LLC has contracted with J. Davis Law Firm, PLLC as its Disadvantaged Business Enterprise (DBE) Liaison and DBE Compliance Manager to create and actively manage a DBE participation on the Mountain Parkway Project (Project).
- DBE Liaison and DBE Compliance Manager are required to oversee all aspects of project outreach and compliance.
- J. Davis Law Firm, PLLC will also assist with workforce development, on the job training activities, and coordination with design team staff, consultants, and advisors.



WHY IS DBE CERTIFICATION IMPORTANT?



- DBE CERTIFICATION PROVIDES SPECIFIC OPPORTUNITIES TO MINORITIES AND WOMEN
- DBE CERTIFICATION PROVIDES GUARANTEED OPPORTUNITIES FOR WOMEN AND MINORITY CONTRACTORS
- RULES AND REGULATIONS CONCERNING TIMING OF PAYMENT
- HELPS WOMEN AND MINORITIES TO GROW AND DIVERSIFY THEIR BUSINESS BY PARTICIPATING ON GOVERNMENT FUNDED PROJECTS
- ACCESS TO SUPPLIER DIVERSITY PROGRAMS
- ELIGIBILITY FOR GRANT OPPORTUNITIES
- ADDITIONAL TRAINING OPPORTUNITIES AND SUPPORTIVE SERVICES

IS DBE CERTIFICATION RIGHT FOR YOU?

1. Are you interested in working on KYTC projects?
2. Does your company offer services that fit into the transportation space?
3. Are you ready to invest time and resource into building relationships within the industry?
4. Are you prepared to grow your company to meet needs within the industry?



Mountain Parkway- Potential Subcontracting Opportunities



Work Items

- PAVEMENT STRIPIN
- PAVEMENT MARKERS
- UTILITIES (WATERLINE)
- TRAFFIC CONTROL
- BITUMINOUS MILLING & TEXTURING
- BARRIER WALLS
- STRUCTURAL PLATE PIPE
- GROUND IN RUMBLE STRIPS
- PNEUMATIC BACKSTOWING
- VIDEO PIPE INSPECTION & CLEANING
- STAY IN PLACE BRIDGE FORMS
- LAW ENFORCEMENT OFFICER
- JOINT ADHESIVE
- PAVEMENT STRIPING REMOVAL
- VIDEO & LASER PIPELINE INSPECTION
- PAVEMENT MARKINGS
- SHEAR STUD INSTALLATION
- GRADE AND DRAIN (Portion)
- PORTLAND CEMENT CONCRETE PAVING
- BRIDGES 100 FT. CLEAR SPAN AND OVER
- SIGNS
- LIGHTING
- CLEARING AND GRUBBING
- GUARD RAILS
- FENCING
- SEEDING AND SODDING
- DENSE GRADED AGGREGATE BASE
- CURB AND GUTTER
- SIDEWALK
- ENTRANCE PAVEMENT
- TYING STEEL REINFORCEMENT
- STORM DRAINAGE & STORM SEWER
- TRAFFIC SIGNALS

Preliminary Description Of Opportunities

DBE- Manufacturers, Suppliers & Brokers

Pavement

- Crushed Stone
- Joint Adhesive

Roadway

- Roadway Excavation
- Temp Mulch
- Seed and Sod

Drainage

- Culvert Pipe
- Storm Sewer Pipe

Bridge

- Steel Reinforcement
- Structural Steel

Signage

- Aluminum Sheet Signs

Lighting

- Wiring



DBE VS. MBE



DBE/ ACDBE

- Implemented on Federal level by USDOT
- Program is designed to remedy ongoing discrimination and the continuing effects of past discrimination in federally-assisted **highway, transit, airport, and highway safety**
- Certification is through the State DOT

MBE/ MWBE

- Implemented on the State Level
- Designed to remedy ongoing discrimination in state, county, and city projects.
- Includes building of schools, convention centers, city developments, and other state, local or city funded projects.
- Certification is typically through State Department of Finance Administration

DBE PROGRAM OVERVIEW

- Every State that receive USDOT Federal Funds Must have a DBE Program.
- USDOT and FHWA provide oversight
- 49CFR Part 26
- Codified by the Civil Rights Act of 1964
- Applicable to every state



DBE PROGRAM REQUIREMENTS

- **Social Disadvantage** - Black Americans, Asian-Pacific, Asian-Indian, Hispanic Americans, Native Americans, Women.
- **Economic Disadvantage** - Less than \$2.047 million Personal Net worth
- **Ownership** - 51%, Looks at Initial Investment
- **Control** - Management and Expertise
- **Small Business Administration Requirements**
- **Must Be For Profit**



DBE CERTIFICATION PROCESS

- DBE Application
- From the date you submit a complete application, KYTC has 90 days to process your application (30 days for interstate)
- Onsite Review at the place of business and interview of owner
- DBE Committee reviews the application and determines eligibility
- Listed on KYTC official directory
- Annual Affidavit of No change
- Certification reviewed every three years



U.S. Department of Transportation

OMB APPROVAL NO: 2105-0586
EXPIRATION DATE: (05/31/2027)

IF YOU ARE ALREADY CERTIFIED AS A DBE/ACDBE, YOU DO NOT HAVE TO COMPLETE THIS APPLICATION FOR OTHER STATES. REFER TO § 26.85 OF 49 CFR PART 26 FOR DETAILS ABOUT THE INTERSTATE CERTIFICATION PROCESS.

Section 1: CERTIFICATION INFORMATION

A. Basic Contact Information:

My firm is applying for certification as _____ DBE ___ ACDBE

(1) Contact person's name and title:

(2) Legal name of firm:

(3) Phone #: _____ (4) Other Phone #: _____ (5) Fax#: _____

(6) E-mail: _____ (7) Firm Websites: _____

(8) Street address of firm (No P.O. Box): _____ City: _____ County/Parish: _____ State: _____ Zip: _____

(9) Mailing address of firm (No P.O. Box): _____ City: _____ County/Parish: _____ State: _____ Zip: _____

HOW TO APPLY

- **Uniform Certification Application with supporting documentation**
- **Affidavit of Certification- Notarized**
- **Personal Net Worth Statement- Notarized**
- **If something that does not apply respond-N/A**
- **Do not submit original documentation**



DBE DOCUMENTATION

- Resumes
- Proof of presumed social disadvantage
- Signed Personal tax returns (last three years)
- Signed Applicant Firm tax returns (last three years)
- Each owner must submit proof of ownership
- Real Estate Holdings
- Lease agreements (equipment and facilities)
- Titles and proof of insurance for equipment and vehicles
- NAICS codes applicable to requested services



DBE DOCUMENTATION

DBE SUPPORTING DOCUMENTATION (CONT.)

- Documented transfers for the past two (2) years
- Year end balance sheet for the past three (3) years
- Relevant licenses, renewal forms and haul authority forms
- Other certifications
- Bank authorization and signatory cards
- Payroll documentation
- Trust agreements
- Spousal Affidavit (if applicable)



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CERTIFIED BY NAICS CODE

North American Industry Classification System

- Six-digit numerical code used to classify businesses based on the type of good or service they provide
- DBE Certification requires that you be assigned a specific code for each type of good or service provided
- It is important to maintain proper codes because it could potentially affect DBE goal setting.
- If your business picks up additional lines of work along the way you and request to add codes (49 C.F.R. § 26.71(n))



Scheduled Workshops

Pre-qualification Workshop

- Will be held via Zoom within 90 days of Cabinet's approval to begin DBE outreach activities.
- All Kentucky DBEs will be notified of the meeting and provided a link to join.
- The DBT will request that a member of the Cabinet Office of Construction Procurement be present.



TBD Workshops

- DBE Bidding Bonding and Insurance Requirements Workshop
- DBE Bidding and Highway Construction Workshop
- Additional DBE Workshops (If Applicable)

Scheduled Workshops

DBE Informational and Marketing

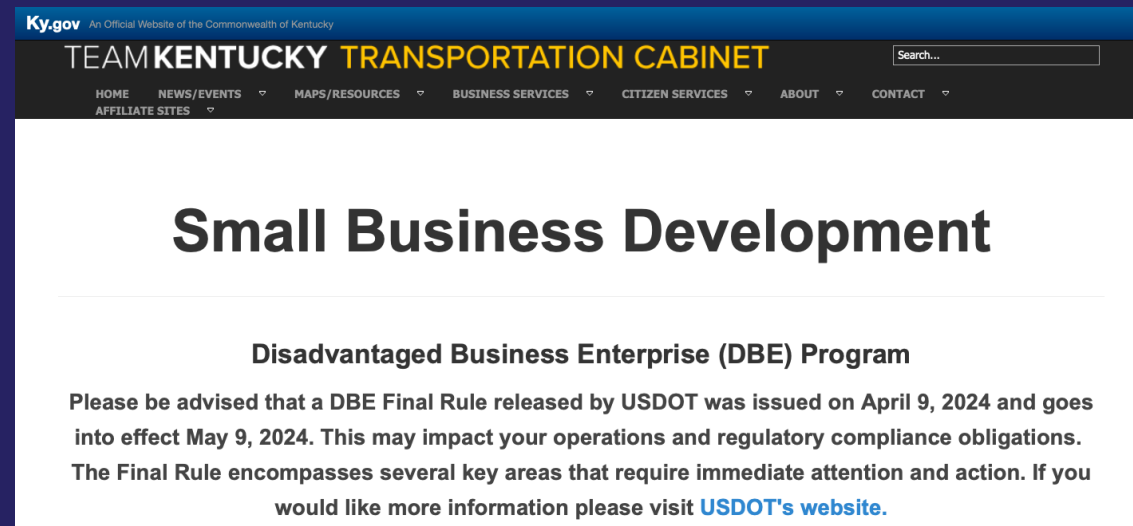
- The session will take place approximately 90 days prior to the Construction Phase at a date, time, and location that accommodates DBEs.
- All interested Kentucky DBEs will be notified of the meeting and provided a link to join by Zoom if they cannot attend the meeting in person.
- Prior to the meeting, the DBT will identify potential areas of work for DBE Construction Phase participation. The notice for the meeting will provide this information.
- The meeting will be for the purpose of providing details on the subcontracting opportunities, ways to access bidding information, points of contact to answer questions, requirements to submit quotes, timelines for proposal responses, and to identify assistance the DBE may request.

Resources

- Website - KYTC




- Office for Civil Rights and Small Business Development - KYTC



Resources

- UCP Application - KYTC

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(2) Legal name of firm: _____

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(6) E-mail: _____ (7) Firm Websites: _____

(8) Street address of firm (No P.O. Box): _____ City: _____ County/Parish: _____ State: _____ Zip: _____

(9) Mailing address of firm (No P.O. Box): _____ City: _____ County/Parish: _____ State: _____ Zip: _____














- Pre-qualification - KYTC

Ky.gov An Official Website of the Commonwealth of Kentucky

TEAM KENTUCKY TRANSPORTATION CABINET

HOME NEWS/EVENTS MAPS/RESOURCES BUSINESS SERVICES CITIZEN SERVICES ABOUT CONTACT



Prequalification

Type	Name
	Applying for Prequalification
	Certificate of Eligibility for Unlimited Bid Capacity
	Change in Renewal Notifications
	Important General Guidelines
	Incidental Work Items
	Instructions for E-Form Library
	Kentucky Revised Statutes
	New Prequalification Work Item - 393 - Pavement Markings
	Prequalified Contractor List
	Requesting Additional Work Items
	Revised Application for Certificate of Eligibility
	Rules and Regulation for Prequalifications of Contractors
	Work Item List


Contact Information:
Division of Construction Procurement
200 Mero Street
Frankfort, KY 40622
Phone: (502) 564-3500
Hours: 8:00 a.m.-4:30 p.m. EST, M-F
[Email Us](#)
[Map It](#)

Resources
[Electronic Planroom](#)
[Prequalified Contractors List](#)
[DocuSign FAQs](#)
[Bid Express](#)

- DBE Supportive Services - KYTC

HOME CONTACT US ABOUT DBE CERTIFICATIONS TRAINING RESOURCES DBE SUPPORT PUBLIC MORE



Connect With Us!

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[mtnparkway](https://www.facebook.com/mtnparkway)



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*Thank you
for attending today's presentation!
Questions?*

